



New York State  
EDUCATION DEPARTMENT

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Knowledge > Skill > Opportunity

# **Staff Evaluation Data Reporting for the 2018-19 School Year**

**Requirements under Education Law  
§3012-d, as amended in 2019**

# Data Elements Required for Reporting\* under Education Law §3012-d

As outlined in [APPR Guidance](#) (M5, p.148), the following data elements will be required for reporting evaluation scores and ratings, either Original or Transition (as applicable), under Education Law §3012-d:

## Student Performance Category

- **Required Student Performance subcomponent score**  
(Student Learning Objectives for Original or Alternate SLOs for Transition)
- **Optional Student Performance subcomponent score (as applicable)**  
(locally selected measures of student growth)

## Teacher Observation/Principal School Visit Category

- **Required Observation/School Visit subcomponent score**  
(combined principal, supervisor, or other trained administrator and impartial independent trained evaluator)
- **Optional Observation/School Visit subcomponent score (as applicable)**  
(trained peer observer)

## Overall Rating

- Based on the evaluation matrix  
([APPR Guidance](#), I2, p. 124)

*\* Please note: The elements outlined above are applicable to staff evaluation data reporting. All educators must receive for original or transition, as applicable: a required student performance subcomponent score and rating, an optional student performance subcomponent score and rating, a student performance category rating, a required teacher observation/principal school visit subcomponent score and rating, an optional teacher observation/principal school visit subcomponent score and rating, a teacher observation/principal school visit category rating, and an overall rating.*

# Staff Evaluation Reporting under Education Law §3012-d: Logistics

- The 2018-19 data submission deadline is October 18, 2019.
- Transition regulations have been superseded by the 2019 amendment to Education Law §3012-d; only one set of scores and ratings should be submitted for each educator.
  - Educators whose evaluation measures per the LEA's approved APPR plan DO NOT incorporate the results of the grades 3-8 ELA/math State assessments and/or State-provided growth scores will have ONLY ORIGINAL scores and ratings.
  - Educators whose evaluation measures per the LEA's approved APPR plan incorporate the results of the grades 3-8 ELA/math State assessments and/or State-provided growth scores will have ONLY TRANSITION scores and ratings.
- Further information regarding Staff Evaluation data collection will be shared with the field in spring/summer 2019.
- Resources can be found on the [Resources for Closeout of 2018-19 APPR](#) page.
- All LEAs will receive an updated APPR summary from the Office of Educator Quality and Professional Development through the IRSP in late Spring, which will summarize the elements necessary for score distribution as well as data submission reflective of the 2019 amendment to Education Law § 3012-d.

# Reporting Scores and Ratings

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- The 2019 amendment to Education Law §3012-d excluded the use of grades 3-8 NYS ELA and Math State Assessments from teacher and principal evaluation\*.
- Each educator should have only one set of evaluation scores and ratings – original or transition, dependent upon the measures included in Task 2/7 of the LEA's approved APPR plan.
  - Original staff evaluation scores and ratings will be calculated for those educators whose measures do not incorporate grades 3-8 NYS ELA/Math assessments (or State-provided growth scores).
  - Transition staff evaluation scores and ratings will be calculated for those educators whose measures do incorporate grades 3-8 NYS ELA/Math assessments (or State-provided growth scores).

# Reporting Scores and Ratings

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- Any educator whose evaluation is based entirely or in part on the grades 3-8 NYS ELA/Math Assessments or State-provided growth scores\* will have ONLY transition scores and ratings.
  - Educators whose evaluations are NOT based at all on the grades 3-8 NYS ELA/Math Assessments or State-provided growth scores should be submitted with ONLY original scores and ratings.
- Transition codes should be used for transition scores and ratings, original codes should be used for original scores and ratings; NO educator should have both original and transition codes.

# Important to Note: ALL educators should have only ONE SET of scores and ratings

Some evaluations will be based on the original measures/assessments included in Task 2/7 of the APPR plan.

*These scores and ratings include those NOT based on State-provided growth scores and/or NYS 3-8 ELA/math assessments, where applicable.*

**In most LEAs, only a subset of evaluations will be based on transition scores and ratings.**

*Teachers AND principals whose original measures/assessments indicated in Task 2/7 of the APPR plan are based on any of the following should have evaluations based on transition scores and ratings:*

- Individual State-provided growth scores
  - *grades 4-8 ELA/math teachers and most principals*
- Individual SLOs based on the NYS 3-8 ELA/math assessments
  - *grade 3 ELA/math teachers and some principals*
  - *teachers with a small number of students that take the NYS 3-8 ELA/math assessments*
- School-, program-, district-, or BOCES-wide SLOs based on either a building State-provided growth score or NYS 3-8 ELA/math assessments
  - *could include any teacher that does not teach only grades 3-8 or Regents courses or any principal of grades K, 1, and/or 2 **only***

# Reporting Transition Scores and Ratings under Education Law §3012-d, as amended in 2019

Measures/assessments included in the approved APPR plan include NYS Grades 3-8 ELA/Math assessments or State-provided growth scores:  
**Submit only TRANSITION scores for all subcomponents and the Overall Rating**  
 (ex. grade four teacher)

Measures/assessments included in the approved APPR plan DO NOT include NYS Grades 3-8 ELA/Math assessments or State-provided growth scores:  
**Submit only ORIGINAL scores for all subcomponents and the Overall Rating**  
 (ex. grade one teacher not using NYS 3-8 ELA/Math assessments or building State-provided growth score)

Required Student Performance subcomponent

- TRANSITION: 0-20 score based on Alternate SLO (Task 2 Transition in the approved APPR plan or the process outlined on the approved Supplemental Form for Alternate SLOs)

Required Student Performance subcomponent

- ORIGINAL: 0-20 score based on SLO (Task 2 of the approved APPR plan)

Optional Student Performance subcomponent

- TRANSITION: Optional subcomponent not used; do not submit

Optional Student Performance subcomponent

- ORIGINAL: 0-20 score based on supplemental assessment

Required Teacher Observation/Principal School Visit subcomponent

- TRANSITION: Score from 1-4 or 0 based on rubric

Required Teacher Observation/Principal School Visit subcomponent

- ORIGINAL: Score from 1-4 or 0 based on rubric

Optional Teacher Observation/Principal School Visit subcomponent

- TRANSITION: Score from 1-4 based on rubric

Optional Teacher Observation/Principal School Visit subcomponent

- ORIGINAL: Score from 1-4 based on rubric

Overall Rating

- TRANSITION: HEDI rating (based on the Evaluation Matrix)

Overall Rating

- ORIGINAL: HEDI rating (based on the Evaluation Matrix)

When receiving their APPR scores and ratings, these educators should also receive:

- A Transition Overall Student Performance category rating based on the Alternate SLO.
- A Transition Overall Teacher Observation / Principal School Visit category rating based on the combined subcomponents, per the weighting indicated in the approved APPR plan.
- PLEASE NOTE, THE OVERALL CATEGORY RATINGS SHOULD NOT BE SUBMITTED WITH THE ABOVE-NAMED STAFF EVALUATION DATA

When receiving their APPR scores and ratings, these educators should also receive:

- An Original Overall Student Performance category rating based on the combined subcomponents, per the weighting indicated in the approved APPR plan.
- An Original Overall Teacher Observation / Principal School Visit category rating based on the combined subcomponents, per the weighting indicated in the approved APPR plan.
- PLEASE NOTE, THE OVERALL CATEGORY RATINGS SHOULD NOT BE SUBMITTED WITH THE ABOVE-NAMED STAFF EVALUATION DATA